

This appendix establishes modifications to the FERC approved NERC standard BAL-005-1 for its specific application in New Brunswick. This appendix must be read with BAL-005-1 to determine a full understanding of the requirements of the standard for New Brunswick. Where the standard and appendix differ, the appendix shall prevail.

A. Introduction

- 1. Title:** Balancing Authority Control
 - 2. Number:** BAL-005-1
 - 3. Purpose:** No New Brunswick modifications.
 - 4. Applicability:**
 - 4.1. Functional Entities:**
 - 4.1.1.** No New Brunswick modifications.
- Effective Date:** No New Brunswick modifications.

B. Requirements and Measures

- R1.** No New Brunswick modifications.
- M1.** No New Brunswick modifications.
- R2.** No New Brunswick modifications.
- M2.** No New Brunswick modifications.
- R3.** No New Brunswick modifications.
 - 3.1.** No New Brunswick modifications.
 - 3.2.** No New Brunswick modifications.
- M3.** No New Brunswick modifications.
- R4.** No New Brunswick modifications.
- M4.** No New Brunswick modifications.
- R5.** No New Brunswick modifications.
- M5.** No New Brunswick modifications.

- R6.** No New Brunswick modifications.
- M6.** No New Brunswick modifications.
- R7.** No New Brunswick modifications.
 - 7.1.** No New Brunswick modifications.
 - 7.2.** No New Brunswick modifications.
- M7.** No New Brunswick modifications.

C. Compliance

1. Compliance Monitoring Process

1.1. Compliance Enforcement Authority

New Brunswick Energy and Utilities Board

1.2. Evidence Retention

No New Brunswick modifications.

1.3. Compliance Monitoring and Assessment Processes:

“Compliance Monitoring and Assessment Processes” refers to the identification of the processes that will be used to evaluate data or information for the purpose of assessing performance or outcomes with the associated Reliability Standard as set out in the New Brunswick Compliance Monitoring and Enforcement Program.

1.4. Additional Compliance Information

No New Brunswick modifications.

Table of Compliance Elements

R #	Time Horizon	VRF	Violation Severity Levels			
			Lower VSL	Moderate VSL	High VSL	Severe VSL
R1.						
R2.						
R3.						
R4.						
R5.						
R6.						
R7.						

D. Regional Variances

No New Brunswick modifications.

E. Interpretations

No New Brunswick modifications.

F. Associated Documents

No New Brunswick modifications.

NB Appendix
BAL-005-1-NB-0 - Balancing Authority Control

Version History (maintained by NBEUB)

Version	NBEUB Approval Date	NB Appendix Effective Date	Change Tracking	Comments
0	04/18/18	07/01/19	1. Identify the Regional Entity having the compliance enforcement authority for NB as being the NBEUB in Section C. 2. Replace references to the NERC Rules of Procedure with the NB CMEP.	Approved under Matter 389.

Rationale

No New Brunswick modifications.